DT03 Rec'd PCT/PTO 1 7 DEC 2007

| | 4 PTO- . 1-200: | | OMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY'S DOCKET NUMBER 930055-2031 | | | | | | | | | |
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| | Т | RANSMITTAL LETTER | R TO THE UNITED STAT | S US ADDUCE THE STORE OF THE OF A | | | | | | | | | |
| | | | TED OFFICE (DO/EO/US) | U.S. APPLICATION NO. (FREWITE CO. T.R. 10)4 | | | | | | | | | |
| CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | | | | | | | | | |
| INTE | ERNA | ATIONAL APPLICATION NO. | INTERNATIONAL FILING DA | | | | | | | | | | |
| | | PCT/JP2003/007857 | 20 June 2003 (20.06.2003 | 20 June 2002 (20.06.2002) | | | | | | | | | |
| | TITLE OF INVENTION THIN FILM PIEZOELECTRIC RESONATOR, THIN FILM PIEZOELECTRIC | | | | | | | | | | | | |
| DE | DEVICE, AND MANUFACTURING METHOD THEREOF | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Tetsuo YAMADA, Kosuke NISHIMURA, Keigo NAGAO | | | | | | | | | | | | | |
| Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | |
| 1. | 1. A This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | | |
| 2. | $\overline{}$ | | QUENT submission of items concer | | | | | | | | | | |
| | | | | | | | | | | | | | |
| 3. item | This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include as (5), (6), (9) and (21) indicated below. | | | | | | | | | | | | |
| 4. | \boxtimes | The US has been elected. | | · | | | | | | | | | |
| 5. | \boxtimes | A copy of the International App | olication as filed (35 U.S.C. 371(c)(2 | 2)) | | | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| 1 | | | d by the International Bureau. | o momentum Darous, | | | | | | | | | |
| | | c. is not required, as the a | pplication was filed in the United S | tates Receiving Office (RO/US). | | | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | |
| | | a. 🛛 is attached hereto. | | • | | | | | | | | | |
| | | b. has been previously sul | bmitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| ľ | | , . | | | | | | | | | | | |
| 7. | \bowtie | Amendments to the claims of the | ne International Application under P | CT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| | | | quired only if not communicated by | the International Bureau). | | | | | | | | | |
| | | | ed by the International Bureau. | ah amandananta haa NOT ayniyad | | | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | | | | | |
| | | _ | | der PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 8. | \boxtimes | 0 0 | | der FC1 Article 19 (33 0.5.C. 371(c)(3)). | | | | | | | | | |
| 9. | \bowtie | An oath or declaration of the in | | | | | | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | |
| Iter | ns 11 | to 20 below concern documen | t(s) or information included: | | | | | | | | | | |
| 11 | | An Information Disclosure Stat | ement under 37 CFR 1.97 and 1.98. | | | | | | | | | | |
| l . | _ | | | ompliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| ١ | _ | | columg. A separate cover sheet in | | | | | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | an ann 4 ac | EXPRESS MAIL | | | | | | | | | |
| 14. | Ш | An Application Datasheet unde | r 37 CFR 1.76. | Mailing Label Number: <u>EV206807626US</u> | | | | | | | | | |
| 15. | | A substitute specification. | | Date of Deposit: December 17, 2004 | | | | | | | | | |
| 16. | | A power of attorney and/or cha | nge of address letter. | I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on | | | | | | | | | |
| 17. | | A computer-readable form of the with PCT Rule 13ter.2 and 37 G | ne sequence listing in accordance CFR 1.821 – 1.825. | | | | | | | | | | |
| 18. | | A second copy of the published 35 U.S.C. 154(d)(4). | international application under | date indicated above and is addressed to: Mail Stop PCT, missioner for Patents, P.O. Box 1450, Alexandria, VA 22313- | | | | | | | | | |
| 19. | | A second copy of the English la international application under | | | | | | | | | | | |
| 20. | \boxtimes | Other items or information: | | Mustel & Do. | | | | | | | | | |
| | | check for \$2,250.00 | (Signature of person mailing paper or fee) | | | | | | | | | | |

| U. | S. APPLICA | TION NO. (If keower, 573 | ATTORNEY'S DOCKET NO. 930055-2031 | | | | | | | | |
|---|--|---|--|---|-------------|----------------|------------|----|--|--|--|
| 21. | a) Bas b) Exa | wing fees are submitted: ic national fee mination fee rch fee TOTAL OF ABOV | \$200.00 \$500.00 | \$300.00 \$200.00 \$500.00 \$1,000.00 | | | | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | | |
| · Tot | al Sheets | Extra sheets | | r of each additional 50 or fractional 50 or fractional up to a whole number | | RATE | 1 | · | | | |
| | - 100 | | /50= | | | x \$250.00 | \$ 0 | | | | |
| | | 0.00 for furnishing the oadate (37 CFR 1.492(e)). | \$ 0 | | | | | | | | |
| | CLAIMS | NUMBER FIL | ED | NUMBER EXTRA | RATE | | | | | | |
| | Total claim | s 45 | - 20= | 25 | x \$50.00 | | \$1,250.00 | | | | |
| | dependent cl | | 2 - 3= 0 | | x \$200.00 | | \$ 0 | | | | |
| MU | ILTIPLE DE | PENDENT CLAIM(S) (if | applicable | e) | + | \$360.00 | \$ 0 | | | | |
| TOTAL OF ABOVE CALCULATIONS = \$2,250.00 | | | | | | | | | | | |
| | Applican by ½. | claims small entity status | See 37 (| C.F.R. 1.27. The fees indicate | ed above ar | e reduced | \$ | -C | | | |
| | | | | | | SUBTOTAL = | \$2,250.00 | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | | | | | |
| | | | | To | OTAL NA | TIONAL FEE = | \$2,250.00 | | | | |
| Fee by a | Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | | | | | |
| | | | ······································ | | TAL FEES | S ENCLOSED = | \$2,290.00 | | | | |
| | | | Amount to be refunded: | \$ | | | | | | | |
| | | | Amount to be charged: | \$ | | | | | | | |
| | | | | | | | | | | | |
| a. | ⊠ A ch | eck in the amount of \$2,25 | 0 to cover | the above fees is enclosed. | | | | • | | | |
| b. | | Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. | | | | | | | | | | | |
| d. | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | | |
| | - | | | | | //- | 2211 | | | | |
| SE | ND ALL C | ORRESPONDENCE T | call/Xe. | | | | | | | | |
| Ro | nald R. Sa | ntucci | | | | SIGNATU | KE 4. | | | | |
| | | rence & Haug LLP | Santucci | | | | | | | | |
| | 5 Fifth Aver w York Ne | nue w York 10151 | NAME | | | | | | | | |
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